



ACH Electronic Funds Transfer

The ACH Transfer System allows you to electronically transfer money from your bank account to your Firsttrade account. With this service you can select to make periodic deposits into your account or transfer money on demand without a set transfer schedule.

Setup Initial ACH Profile Online

To initiate an ACH Profile Setup, please login to your account and go to "My Accounts" → "Deposit/Transfer" → "Bank Profile".

Setup Initial ACH Profile – ACH Form

- **STEP 1:** Download our [Electronic Funds Transfer \(ACH\) Agreement](#) and input your information. Review, Print and Sign the form.
- **STEP 2:** Attach a voided check or most recent bank statement.
- **STEP 3:** Online Document Upload, Email, Fax or Mail the above documents to:

Online Document Upload: Please upload completed form via the Form Center after logging into your Firsttrade account, click on Customer Service -> Form Center -> Upload Form

Email: ACH_Service@firsttrade.com

Fax: 1-718-961-3919

Mailing Address:

Firsttrade Securities Inc.
30-50 Whitestone Expwy.
Ste. A301
Flushing, NY 11354

Amend Existing ACH Profile

- **STEP 1:** Download our [Electronic Funds Transfer \(ACH\) Agreement](#) and input your information. Review, Print and Sign the form.
- **STEP 2:** Attach a voided check, a copy of the most recent bank statement and a copy of a valid government-issued Photo ID for each account holder.
- **STEP 3:** Online Document Upload, Email, Fax, or Mail the above documents to the same address above.

The setup process typically takes 2-3 business days. You will receive two small deposits in your bank account from Firsttrade. Please review your bank account activity and enter the two small deposit values in the "Bank Profile Setting" within 10 calendar days.

Note:

Electronic Funds Transfer (ACH) is available to U.S. Firsttrade accounts and the linked bank account must be via a U.S. bank. You may not link third-party bank accounts, such as a business account (even if your name is on the account). Not available for Simple IRA, SEP IRA, ESA Accounts, or Investment Club accounts.

Authorization for On-Demand Electronic Funds Transfer



Firsttrade Account Information:

<div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> <div style="border: 1px solid black; width: 30px; height: 30px; margin: 2px;"></div> </div> <p style="text-align: center; margin-top: 5px;">Firstrade Account Number</p>	<p style="text-align: center;">Check Either One:</p> <div style="display: flex; justify-content: center; gap: 20px;"> <input type="checkbox"/> Setup Initial ACH Profile <input type="checkbox"/> Amend Existing ACH Profile </div>
<hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Firstrade Account Primary Owner's Name (Type or Print)	<hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Firstrade Account Joint Owner's Name (if applicable, Type or Print)

This will serve as authorization for Apex Clearing Corporation to establish Electronic Transfer Instructions to deposit or withdraw funds as ordered by me (us) through Firsttrade by **crediting or debiting** my (our) account indicated below.

Bank Account Information:

<hr/> Bank Account Primary Owner's Name (Type or Print)	<hr/> Bank Account Joint Owner's Name (if applicable, Type or Print)
<hr/> Name of the Bank <div style="display: flex; align-items: center; margin-top: 10px;"> <div style="display: flex; gap: 5px;"> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> </div> </div>	<hr/> Bank Account Number <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <input type="checkbox"/> Checking <input type="checkbox"/> Savings </div>
<hr/> 9 Digit ABA Number (ACH Routing Number)	<hr/> (Attach original voided check or copy of bank statement)

Optional Periodic Deposits: (Transfer funds into your Firstrade account at a scheduled interval, minimum transfer amount is \$1)

Frequency of Transfer: (Select one option)				Amount: \$ _____		
Weekly:	<input type="checkbox"/> Monday	<input type="checkbox"/> Tuesday	<input type="checkbox"/> Wednesday		<input type="checkbox"/> Thursday	<input type="checkbox"/> Friday
Monthly:	Day of Month: _____ (Enter a number from 1-28)					
Quarterly:	<input type="checkbox"/> Schedule A <i>January</i> <i>April</i> <i>July</i> <i>October</i>	<input type="checkbox"/> Schedule B <i>February</i> <i>May</i> <i>August</i> <i>November</i>	<input type="checkbox"/> Schedule C <i>March</i> <i>June</i> <i>September</i> <i>December</i>		Day of Month: _____ (Enter a number from 1-28)	

This authorization is to remain in full force and effect until Apex Clearing through Firsttrade, has received written notification from me (or either of us) of its termination in such time and in such manner as to afford Apex Clearing and my bank a reasonable opportunity to act on it. I also authorize you to accept debit or credit adjustment if required. I authorize Firsttrade and/or Apex Clearing to verify my bank account information with the bank listed above.

Important: Two signatures are required if either your bank account or Firstrade account is joint account.

Bank or Firsttrade Account Primary Owner Signature		Bank or Firsttrade Account Joint Owner Signature (if applicable)	
Date		Date	
Bank or Firsttrade Account Primary Owner Name (Print)		Bank or Firsttrade Account Joint Owner Name (Print)	
Address		City	State
			Zip

NOTES:

* Must be payable through a U.S. domestic banks.

* Only available to Firsttrade U.S. domestic accounts.

* Starter checks and checks without printed title and address will not be accepted.

* Not available for initial deposit and custodial account.

* For savings accounts, submit a copy of savings account statement in lieu of voided check.

** This process usually takes about 5-7 business days. We will notify you by email when the setup is completed.*

John Q. Public 123 Elm Avenue S. Hampton, US 54321		0168
DATE: _____		
Attach Original Voided Check Here		
PAY TO THE ORDER OF _____		DOLLARS

ABA # or ACH Routing #	Bank Account Number	
0 1 1 1 2 3 4 5 6	9 8 7 6 5 4 3 2 1 0	

