

Please return this completed Broker Application for Accreditation form to accreditations@beyondbank.com.au.

Broker Personal Details	
Title <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Other	
First Name:	Last Name:
Middle name(s):	Preferred Name:
Email address:	

Industry Membership – Provide copy of evidence of membership	
Industry Membership <input type="checkbox"/> MFAA <input type="checkbox"/> FBAA	
Membership No:	Membership Expiry: (must be within date)

Licensing/Registration Details – Please select from the 3 options and provide details.	
1. <input type="checkbox"/> I am a Credit Representative (CR) of a holder of an Australian Credit Licence (ACL):	
ACL Registered Name:	ACL Number:
Your Name:	CR Number:
2. <input type="checkbox"/> I have been issued with an Australian Credit Licence (ACL):	
ACL Registered Name:	ACL Number:
3. <input type="checkbox"/> I am a PAYG employee of a holder of an Australian Credit Licence (ACL):	
Please note that we require all PAYG employees to be assigned to a Beyond Bank accredited Broker.	
ACL Registered Name:	ACL Number:
Beyond Bank Accredited Broker:	Beyond Bank Broker Code:

Broker Business Details		
Aggregation Group:	Business/Broker Group Name:	Number of Brokers in Group:
Business/Company Address:		
Business/Company Mailing Address (if different from above):		
Business Phone Number:	Business Mobile Number:	

Broker Declarations		
Within the last 10 years, have you ever been declared bankrupt or been subject to control under the Bankruptcy Act 1966?	<input type="checkbox"/> Yes – Please provide details below.	<input type="checkbox"/> No
Have you ever been found guilty of any offence or crime or charged with any offence in the last 5 years which has not been fully determined before a court or otherwise withdrawn or dismissed?	<input type="checkbox"/> Yes – Please provide details below.	<input type="checkbox"/> No
Have you ever had an application for the grant or renewal of a financial services licence or registration refused or declined?	<input type="checkbox"/> Yes – Please provide details below.	<input type="checkbox"/> No
Have you had a lender, originator or industry association decline an application or withdraw your/their accreditation of membership?	<input type="checkbox"/> Yes – Please provide details below.	<input type="checkbox"/> No
Have you had any licence, registration or permission to carry a business under any enactment suspended or cancelled, or otherwise been disqualified from carrying on any occupation, profession or business?	<input type="checkbox"/> Yes – Please provide details below.	<input type="checkbox"/> No
Have you had any Professional Indemnity Insurance (PI) declined in the last 5 years?	<input type="checkbox"/> Yes – Please provide details below.	<input type="checkbox"/> No
<p>Please provide further details if you have answered YES to any of the above. Please note that we will require confirmation from your Aggregator that they are aware of any declarations disclosed):</p>		

Privacy Statement

What this statement is about

We understand the importance of protecting your privacy and we are committed to complying with the Privacy Act 1988 (Cth) ("the Privacy Act"), the Australian Privacy Principles set out in the Privacy Act, applicable Codes of Practice to which we subscribe and other laws and codes affecting your personal information.

Please read the following carefully as it sets out how we handle your personal information. You need not give us any of the personal information requested in the Broker Application for Accreditation form or any other document or communication relating to the application. However, without this information, we may not be able to enter into a Broker Accreditation Agreement with you.

How we collect your personal information

We will only collect personal information directly from you, unless you have provided your consent. This information will generally be collected from what you provide in this application form.

We may also need to collect personal information about you from third parties, such as professional bodies of which you are a member, your PI insurer, our broker aggregation group (if any), and third party service providers.

Why we collect your personal information

We may use your personal information for the purpose of assessing your application for entering into a Broker Accreditation Agreement with you and managing our business relationship with you. This may also include informing you about products and services provided by us which we consider may be of value or interest to your clients, unless you tell us not to.

We will not use or disclose your personal information for a purpose other than:

- a purpose set out in our Privacy Policy;
- a purpose you would reasonably expect;
- a purpose required or permitted by law; or
- a purpose otherwise disclosed to you to which you have consented.

We will not disclose your personal information to any overseas entity unless specifically authorised by you.

When we may give personal information to other organisations

We may disclose your personal information to other organisations that provide services that assist us in supplying or administering our business relationship with you. Organisations to which we will usually disclose your personal information include:

- our related companies;
- external organisations that are our assignees, agents or contractors;
- external service providers to us, such as:
 - those we use to verify your identity;
 - payment systems operators;
 - printing and mailing houses;
 - fraud prevention service providers; and
 - research consultants;
- our professional advisors, such as accountants, lawyers and auditors;
- your representative, for example, lawyer, financial advisor or attorney, as authorised by you.

Your rights to access, or seek a correction of, your personal information held by us

Our Privacy Policy details how you may access, or seek a correction of, any personal information that we hold about you.

How to notify us about a potential breach of the Australian Privacy Principles

Our Privacy Policy details how you may complain about a possible breach of the Australian Privacy Principles in relation to the personal information that we hold about you.

Your Authority to Us

1. I have completed the Broker Application for Accreditation form and declare the information I have provided to be true and correct.
2. I acknowledge that my appointment as a Broker is not effective until Beyond Bank Australia confirms acceptance of my application with me.
3. By completing this application I confirm that I hold and will continue to maintain status as:
 - a) Certified Credit Adviser/Credit Adviser/Associate Credit Adviser/Accredited Mortgage Consultant through MFAA; or
 - b) Accredited Member/Associate Member of the FBAA.

I agree to supply Beyond Bank Australia with any information requested from time to time in order to confirm that I meet any changes to accreditation and/or compliance requirements. By signing this Broker Application for Accreditation form I authorise Beyond Bank Australia to collect, maintain, use and disclose my personal information in the manner set out in the privacy statement above.

Broker Name:

Broker Signature:

Date:

Aggregator approval and declaration

I confirm that

(Broker Name)

is associated with

(Aggregator)

to act as our credit representative/nominee in accordance with our third party arrangements.

I acknowledge that:

- the Broker is currently accredited with me (the aggregator); and
- I will immediately inform Beyond Bank Australia if the Broker ceases to be accredited with me (the Aggregator), so that the allocated Broker ID may be deactivated. Note: Broker ID numbers cannot be transferred between individuals or groups.

Name:

Signature:

Position:

Date:

Authorisation and approval (Beyond Bank staff only)

To be completed by Beyond Bank Third Party Distribution.

- | | |
|--|--|
| <input type="checkbox"/> Broker Declaration signed | <input type="checkbox"/> Broker ID generated |
| <input type="checkbox"/> Aggregator Declaration signed and confirmed | <input type="checkbox"/> Email sent to broker confirming acceptance and broker identification number |
| <input type="checkbox"/> ASIC search completed to verify ACL/CR number | <input type="checkbox"/> Commission System |
| <input type="checkbox"/> Product training completed | <input type="checkbox"/> Advise Aggregator of Accreditation |
| <input type="checkbox"/> Approval from National Manager Third Party Distribution | |

Signature:

Date:

Name: