

Thanks for your interest in becoming accredited with AAA Financial Corporation. Please complete all parts of this form and email to accreditation@aaafin.com.au along with ALL documents listed in Part C.

Part A: COMPANY & STAFF

BUSINESS / COMPANY NAME

ABN COMPANY PARTNERSHIP SOLE TRADER

TRADING NAME
IF APPLICABLE

MAILING ADDRESS

OFFICE ADDRESS

PRIMARY PHONE FAX

WEBSITE

DIRECTORS

DIRECTORS EMAIL

DIRECTORS PHONE/MOBILE

LOAN PROCESSOR/WRITER

LOAN WRITER EMAIL

LOAN WRITER PHONE/MOBILE

OFFICE MANAGER

OFFICE MANAGER EMAIL

OFFICE MANAGER PHONE

ACCOUNTS CONTACT

ACCOUNTS EMAIL

Part B: BACKGROUND & OPERATIONS

REGION OF OPERATIONS (SUBURB, CITY OR STATE)

YEARS OF OPERATION No. of STAFF EMPLOYED

WHAT TYPE OF MORTGAGES DO YOU ORIGINATE?

WHAT IS YOUR ESTIMATED VOLUME OF LOANS BUSINESS YOU ANTICIPATE TO INTRODUCE?

WHICH FINANCIAL INSTITUTIONS DO YOU CURRENTLY PLACE YOUR BUSINESS WITH?

ARE YOU A MEMBER OF ANY INDUSTRY ASSOCIATIONS? (MFAA, FBAA, ETC)

HOW DID YOU FIND OUT ABOUT AAA?

Part C: DOCUMENT CHECKLIST

PLEASE PROVIDE LEGIBLE SCANNED OR PHOTOGRAPHED COPIES OF THE FOLLOWING DOCUMENTS...

YES NO 1. AFCA (AUSTRALIA FINANCIAL COMPLAINTS AUTHORITY) CERTIFICATE

YES NO 2. RECENT AML (ANTI-MONEY LAUNDERING) CERTIFICATE. PLEASE PROVIDE FOR ALL INDIVIDUAL LOAN PROCESSORS

YES NO 3. YOUR PROFESSIONAL INDEMNITY INSURANCE (PI COVER) (IF AVAILABLE)

YES NO 4. YOUR PROFESSION MEMBERSHIP CERTIFICATE (MFAA/FBAA ETC) (IF AVAILABLE)

YES NO 5. THE CONFIRMATION FROM ASIC WITH YOUR AUSTRALIAN CREDIT LICENSE NUMBER

YES NO 6. CERTIFICATE OF INCORPORATION AND/OR BUSINESS REGISTRATION (AS APPLICABLE)