

# Suncorp Broker Accreditation Application

## TO BE COMPLETED BY BROKER AND THEN GIVEN TO AGGREGATOR/BROKER GROUP

Please complete accreditation application below and then send with required supporting information:

Email: [bc.accreditation@suncorp.com.au](mailto:bc.accreditation@suncorp.com.au)

Once application requirements are completed and forwarded to the above email address, you should receive confirmation within 5 business days. For any questions in relation to this accreditation application please forward to [bc.accreditation@suncorp.com.au](mailto:bc.accreditation@suncorp.com.au)

### Accreditation Type

- Retail & Small Business (Retail any amount and/or Small Business up to \$3m excluding specialised industries) NEW Accreditation
- Retail & Small Business (Retail any amount and/or Small Business up to \$3m excluding specialised industries) TRANSFER
- Commercial and Agribusiness (over \$1m and/or specialised credit) NEW Accreditation
- Commercial and Agribusiness (over \$1m and/or specialised credit) TRANSFER

### Becoming an Accredited Broker

Suncorp Bank requires Brokers who are being accredited or switching Aggregators to complete the Suncorp Broker Accreditation Application and provide the following:

- A copy of a severance letter/email from previous aggregator (if transferring aggregators)
- An email address and phone number Suncorp can use to provide information relevant to your ongoing accreditation

- In addition, brokers who are being accredited or switching Aggregators for Retail or Small Business Lending also need to:
- Currently hold an Australian Credit License or be a Credit Representative under the Credit License of another entity and can provide evidence of this
- Be an MFAA Accredited Mortgage Consultant (AMC) or an FBAA Accredited Member (AM) with Compliance Basics Certificate or Certificate of Attainment

### Broker Details

Aggregator/Introducer	<input type="text"/>	I Code:	<input type="text"/>
Trading Name	<input type="text"/>		
ABN / ACN	<input type="text"/>		
Previous Suncorp Bank Accreditation code (if applicable)	<input type="text"/>		
Title	<input type="text"/>		
Given name	<input type="text"/>		
Surname	<input type="text"/>		
Preferred Name	<input type="text"/>		
Are you or have you ever been known by any name other than the name provided above?			<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, provide details	<input type="text"/>		
Date of birth	<input type="text" value="DD / MM / YYYY"/>		
Business Address	<input type="text"/>		
		State	Postcode
Postal Address	<input type="text"/>		
		State	Postcode
Office Phone	<input type="text"/>	Business Mobile	<input type="text"/>
Business Email	<input type="text"/>		

By providing your mobile number and email address, you consent to us contacting you by text and email.

### Australian Credit License / Credit Representative Information

ACL Registration/Licence Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No	ACL Registration / Licence Number	<input type="text"/>
If No, Are you a credit representative of a Registered/ Licensed entity?	<input type="checkbox"/> Yes	ACL Registration / Licence Number	<input type="text"/>
Licence Name of entity	<input type="text"/>		
Credit Licence Number	<input type="checkbox"/> Yes <input type="checkbox"/> No	Credit Licence Number	<input type="text"/>
If No, Are you an employee of a Registered/Licensed entity?	<input type="checkbox"/> Yes	Credit Licence Number	<input type="text"/>
Licence Name of entity	<input type="text"/>		

## Broker Declaration

Have you under your current name or other name detailed above, or any entity that you may have been associated with as a director or principal ever (or currently):

- Been employed in any capacity by the Suncorp Group?  Yes  No
- Been declared a bankrupt or subject to a Part 10 arrangement?  Yes  No
- Been a Director or Office Holder of an Insolvent Company?  Yes  No
- Been expelled from an aggregator or industry body?  Yes  No
- Been charged or convicted of an offence of dishonesty, fraud or similar?  Yes  No
- If 'Yes' to any of the above, please provide details


## Broker Acknowledgment

As part of the Suncorp Bank Accreditation process, it is important that you familiarise yourself with Suncorp's products, pricing and processes. We request that you review this information which can be found on [businesspartners.suncorp.com.au](http://businesspartners.suncorp.com.au) and regularly check this site for updates.

In signing this application you confirm that

- You agree to Suncorp Bank collecting, using and disclosing my/our personal information, including sensitive and health information if applicable, in accordance with the Privacy Statement included in this document and the Suncorp Group Privacy Policy and I/we will ensure that the Privacy Statement is provided to any individual I/we have provided personal information about in this form.

- You acknowledge that as per the terms of the Agreement between the Aggregator and Suncorp Bank, the Bank is able to recover commission it has paid to the Aggregator (and or its members). I agree that where commission has been paid directly to me by the Aggregator and or Suncorp Bank and is subsequently deemed to be incorrect or not payable at all, I will repay such commission to Suncorp Bank.
- You have accessed the information at [businesspartners.suncorp.com.au/public/newbrokers](http://businesspartners.suncorp.com.au/public/newbrokers) and agree to continue to review; and
- all the information provided by you is correct.

Signature of Claimant

Date:

## Privacy Statement

### Privacy is Important...

...especially when you consider the number of ways we communicate and interact these days. That's why we've put together this statement. It explains how we collect, hold, use and disclose your personal information and who we share it with. And because it's guided by privacy laws, you can be sure it takes your rights seriously. Above all, this statement is your assurance that we never take your privacy for granted and always take the utmost care in protecting your personal information. Suncorp-Metway Ltd ("Bank"), is a member of the Suncorp Group, which we'll refer to simply as "the Group".

### Why do we collect personal information?

Personal information is information or an opinion about an identified individual or an individual who is reasonably identifiable. The Bank collects personal information so that we can:

- identify you and conduct appropriate checks;
- understand your requirements and provide you with a product or service;
- set up, administer and manage our products and services;
- manage, train and develop our employees and representatives;
- manage complaints and disputes, and deal with dispute resolution bodies; and
- get a better understanding of you, your needs, your behaviours and how you interact with us, so we can engage in product and service research, development and business strategy including managing the delivery of our services and products via the ways we communicate with you.

### What happens if you don't give us your personal information?

If we ask for your personal information and you don't give it to us, we may not be able to provide you with any, some, or all of the features of our products or services.

### How we handle your personal information

We collect your personal information directly from you and, in some cases, from other people or organisations. We also provide your personal

information to other related companies in the Group, and they may disclose or use your personal information for the purposes described in 'Why do we collect personal information?' in relation to products and services they may provide to you. Under the following Australian laws we may be authorised or required to collect your personal information: Anti-Money Laundering and Counter- Terrorism Financing Act; Income Tax Assessment Acts, Tax Administration Act and A New Tax System (Goods and Services Tax) Act, and any regulations made under those Acts. We will use and disclose your personal information for the purposes we collected it as well as purposes that are related, where you would reasonably expect us to. We may disclose your personal information to and/or collect your personal information from:

- other companies within the Group;
- any of our Group joint ventures where authorised or required;
- any entity where disclosure to, or collection from, such entity is required or authorised by law;
- customer, product, business or strategic research and development organisations;
- data warehouse, strategic learning organisations, data partners, analytic consultants;
- social media and other virtual communities and networks where people create, share or exchange information;
- publicly available sources of information;
- clubs, associations, member loyalty or rewards programs and other industry relevant organisations;
- a third party that we've contracted to provide financial services, financial products or administrative services – for example; information technology providers, administration or business management services, consultancy firms, auditors and business management consultants, marketing agencies and other marketing service providers, and print/mail/digital/imaging/document management service providers;
- yours or our advisers, agents, representatives or consultants;

- government, statutory or regulatory bodies and enforcement bodies;
- the Australian Financial Complaints Authority or any other external dispute resolution body;
- manufacturers for plastic card production (eg debit cards); and
- any other organisation or person, where you've asked them to provide your personal information to us or asked us to obtain personal information from them, eg your mother.

We'll use a variety of methods to collect your personal information from, and disclose your personal information to, these persons and organisations, including written forms, telephone calls and via electronic delivery. We may collect and disclose your personal information to these persons and organisations during the information life cycle, regularly, or on an ad hoc basis, depending on the purpose of collection.

**Overseas Disclosure**

Sometimes, we need to provide your personal information to – or get personal information about you from – persons located overseas, for the same purposes as in 'Why do we collect personal information?'. The list of countries Suncorp usually disclose personal information to is in our Suncorp Group Privacy Policy. Please go to [www.suncorp.com.au/privacy](http://www.suncorp.com.au/privacy) to see our Suncorp Group Privacy Policy or call 13 11 55 to obtain a list of countries Suncorp usually disclose personal information to. From time to time, we may need to disclose your personal information to, and collect your personal information from, other countries not on this list. Nevertheless, we will always disclose and collect your personal information in accordance with privacy laws.

**Your personal information and our marketing practices**

Every now and then, we and any related companies that use the Suncorp brand might let you know – including via mail, SMS, email, telephone or online – about news, special offers, products and services that you might be interested in. We will engage in marketing unless you tell us otherwise. You

can contact us to update your marketing preferences at any time. In order to carry out our direct marketing we collect your personal information from and disclose it to others that provide us with specialised data matching, trending or analytical services, as well as general marketing services (you can see the full list of persons and organisations under 'How we handle your personal information'). We may also collect your personal information for marketing through competitions and by purchasing contact lists. We, and other people who provide us with services, may combine the personal information collected from you or others, with the information we, or companies in our Group, or our service providers already hold about you. We may use online targeted marketing, data and audience matching and market segmentation to improve advertising relevance to you.

**How to access and correct your personal information or make a complaint**

You have the right to access and correct your personal information held by us and you can find information about how to do this in the Suncorp Group Privacy Policy. The Policy also includes information about how you can complain about a breach of the Australian Privacy Principles and how we'll deal with such a complaint. You can get a copy of the Suncorp Group Privacy Policy. Please use the contact details in Contact Us if you wish to do so.

**Contact us**

For more information about our privacy practices including overseas disclosure or to tell us about your marketing preferences you can visit: [www.suncorp.com.au/privacy](http://www.suncorp.com.au/privacy). Alternatively, you can get in touch directly by contacting us on:

- Phone: 13 11 55
- Email: [privacyaccessrequests@suncorp.com.au](mailto:privacyaccessrequests@suncorp.com.au)
- Mail: Suncorp Bank GPO Box 1453, Brisbane Qld 4001
- or by visiting a Suncorp Bank Store

**Checklist**

**New Suncorp Accreditation**

- CURRENT** AFCA registration certificate
- Copy of **CURRENT** MFAA / FBAA / CAFBA Certificate
- Expiry date must be current and clearly displayed
- or
- Confirmation email from the MFAA / FBAA / CAFBA confirming Accredited Member Certificate status if certificate has not been received

**Transfer of Existing Suncorp Accreditations**

- CURRENT** AFCA registration certificate
- Copy of **CURRENT** MFAA / FBAA / CAFBA Certificate
- or
- Confirmation email from the MFAA / FBAA / CAFBA confirming Accredited Member Certificate status if certificate has not been received
- Copy of a severance letter/email from previous aggregator
- Fully Completed Suncorp Broker Accreditation Application
- All certifications must be current with expiry dates clearly displayed

**Aggregator / Broker Group Approval**

Authorised Aggregator representative name

Has identification for the applicant been satisfactorily confirmed?  Yes  No

Has a police check on the applicant been completed and was the outcome satisfactory?  Yes  No

If no, why?

Which industry body is the applicant a member of?

- MFAA
- FBAA
- CAFBA
- Other
- None

Aggregator Representative

Signature of Aggregator Representative  Date:

**Alternatively, you can get in touch directly by contacting us on:**

- Email:** [bc.accreditation@suncorp.com.au](mailto:bc.accreditation@suncorp.com.au)
- Mail:** Suncorp Bank GPO Box 1453, Brisbane Qld 4001