

Medfin Broker Accreditation form

MedfinBroker@nab.com.au

Aggregator

Broker Full Legal Individual Name _____ DOB
 (Representative)

Broker Company Name
 (as registered with ASIC)

Trading as Name
 (if different to registered name)

ABN

Postal Address

Street Address

Email Address

Mobile Number Telephone Number Fax Number

Is Broker accredited with NAB's Broker program	Yes	No	NAB SPID (if known)
From 1 January 2011 representatives may only engage in a credit activities if they:			
1. have applied for, or been issued with an Australian Credit Licence (ACL); 2. have been appointed as an Authorised Credit Representative (ACR) by an entity that has applied for or been issued with an ACL; or 3. are an employee or director of an entity that has applied for or been issued with an ACL. Please tick the box that is applicable and provide details. Please indicate "Applied for" in the appropriate section if you have applied for an Australian Credit Licence (ACL) or Authorised Credit Representative (ACR) of a licence applicant or current licence holder.			
Representative is licensed in own name:	Licence Number:		
Representative is an Authorised Credit Representative:	Authorised Credit Representative Number:		
	Entity' Licence Number (Please provide Entity's licence number with ACR number)		
Representative is a Director or Employee of a licensed Entity:	Entity's Licence Number:		
Representative is a member of	<i>Membership Number:</i>		
	MFAA		
	FBAA		
	CAFBA		
	AELA		
	(other)		

Have you ever had accreditation declined or cancelled by National Australia Bank Limited or another

Lender: Yes No

If 'Yes' provide details:

Provide a brief history of your Credentials and Background including recent Employment History (two years minimum), local profile, education, professional qualifications

What is the target market and how the customers sourced?

Broker Consent (Proposed Individual Broker)

By signing this form in **wet-ink only**, you consent and agree:

- to receiving electronic communications from Medfin including updates on loan approvals, information about Medfin's products and policies and other communications of a marketing or promotional nature
- to Medfin's Broker and Introducer Privacy Notification
- that the information provided above is to your knowledge accurate and complete.

Stand Alone Asset Finance Only:

- I agree to appointment as a limited agent of Medfin, for the purpose of carrying out customer identification procedures of all relevant parties to the loan and facilities, in accordance with Medfin's customer identification instructions and procedures to satisfy regulatory obligations (including those under Anti-Money Laundering / Counter-Terrorism Financing laws in each state and territory). In verifying the identity of all relevant parties as Medfin's limited agent, I agree to collect and sight and/or certify original documents of all identity documents submitted to Medfin and declare I am satisfied each applicant is the person who they claim to be and is the same person shown in the photograph of the identity document/s produced for each application.

Sign:

Date:

Aggregator to complete

Aggregator Representative Name:

Aggregator Representative Position:

Sign:

Date:

Broker and Introducer Privacy Notification

Medfin Australia Pty Ltd ABN 89 070 811 148 ('Medfin') is a member of the National Australia Bank Limited ABN 12 004 044 937 ('NAB') group of companies ('Group'). The Group includes all of NAB's banking, financing, funds management, financial planning, superannuation, insurance, broking and e-commerce organisations. As some of NAB's related companies may have specific legal requirements, they may have separate, but consistent policies. Please view these if they are more relevant to your relationship with the Group.

The notification tells you how we collect your information, what we use it for and who we share it with. It also points out some key features of our Privacy Policy which is available at www.medfin.com.au/about-us/privacy-policy.

How we collect information from you

We'll collect your information from you directly whenever we can. Sometimes we collect your personal information from third parties. You may not be aware that we have done so. If we collect information that can be used to identify you, we will take reasonable steps to notify you of that collection.

How we collect information from other sources

Sometimes we collect information about you from other sources. We do this only if it's necessary to do so. Instances of when we may need to collect information include, where:

- we can't get hold of you and we rely on publicly available information to update your contact details;
- at your request, we exchange information with your legal or financial advisers or other representatives; or
- you wish to be accredited as our broker, broker representative and/or any type of introducer to us and we collect information from the broker or the mortgage aggregator with whom you are contracted or associated.

When the law authorises or requires us to collect information

We may collect information about you because we are required or authorised by law to collect it. There are laws that affect financial institutions, including company and tax law, which require us to collect personal information.

How we use your information

As a broker, broker representative and/or any other type of introducer or referrer, a Group organisation will collect your personal information for the purposes of:

- making a decision on whether to accredit you as our broker, broker representative and/or any other type of introducer or referrer and deciding whether to enter into a broker, broker representative, referral or introducer arrangements with you;
- managing and administering any broker, broker representative, referral or introducer arrangements you have with us, including the payment of a commission or other remuneration;
- resolving complaints or issues in relation to customers that you have referred or introduced to us;
- resolving complaints or issues you have raised with us;
- managing any claims or legal proceedings relating to your conduct as a referrer or introducer;
- providing information to you or your organisation about products, services and Group organisations;
- telling you about other products or services that may be of interest to you, or running competitions and other promotions (this can be via email, telephone, SMS, iM, mail, or any other electronic means including via social networking forums), unless you tell us not to; and
- for any other purpose under the broker, broker representative, referral or introducer arrangements that you have with us.

You can let us know at any time if you no longer wish to receive direct marketing offers from the Group. We will process your request as soon as practicable.

What happens if you don't provide your information to us

If you don't provide your information to us, we may not be able to:

- allow you to become a broker, broker representative and/or any type of introducer of, or a referrer to, a Group organisation;

- manage or administer your broker, broker representative, referral or introducer arrangement with us;
- pay a commission or other remuneration or handle complaints or issues relating to customers you've referred or introduced to us;
- handle complaints or issues you have raised with us;
- provide information to you or your organisation about products, services and Group organisations; or
- let you know about other products or services from our Group that might better meet your financial, e-commerce and lifestyle needs.

Sharing your information

We may share your information with other organisations and other Group members for any purpose for which we may use your information and for the other purposes noted below.

Sharing with the Group

We may share your personal information with other Group members:

- to assist us to decide whether or not to accredit you as our broker, broker representative and/or any other type of introducer or referrer and deciding whether to enter into a broker, broker representative, referral or introducer arrangements with you;
- to assist us to manage and administer our relationship with you, including paying a commission or other remuneration, or handling any complaints or issues you have raised;
- to tell you about products or services that might better meet your financial, e-commerce and lifestyle needs; and
- in relation to any corporate re-organisation within the Group.

Sharing at your request

We may need to share your personal information with:

- your representative or any person acting on your behalf (for example your sub-contractors, lawyers or accountants); and
- any referee you nominate to us to confirm details about you.

Sharing with third parties

We may disclose your personal information to third parties outside of the Group, including:

- organisations involved in your becoming, or managing or administering your being, a broker, broker representative and/or any type of introducer of, or a referrer to, a Group organisation such as third-party suppliers, other Group organisations, printers, educators and trainers, professional associations, posting services, call centres, event organisers and our advisers;
- government or regulatory bodies (including ASIC and the Australian Tax Office) as required or authorised by law. In some instances, these bodies may share the information with relevant foreign authorities;
- organisations that maintain, review and develop our business systems, procedures and technology infrastructure, including testing or upgrading our computer systems;
- organisations involved in any re-organisation of the Group or transfer of Group assets or business;
- organisations involved that participate in the payments system including merchants and payment organisations;
- organisations that assist us with product planning, research and development;
- mailing houses and telemarketing agencies that assist us to communicate with you; and
- our other agents and contractors with whom we deal in the ordinary course of our business.

Sharing outside of Australia

As a member of the Group we may need to share or store your information with organisations outside of Australia. You can view a list of the countries in which those overseas organisations are located at www.nab.com.au/privacy/overseas-countries-list.

We may store your information in a cloud or other types of networked or electronic storage. As electronic or networked storage can be accessed from various countries via an internet connection, it's not always practicable to know in which country your information may be held. If your information is stored in this way, disclosures may occur in countries other than those listed at www.nab.com.au/privacy/overseas-countries-list.

Overseas organisations may be required to disclose information we share with them under a foreign law. In those instances, we will not be responsible for that disclosure.

Accessing your Information

You can ask us to access information that we hold about you. You can find out how to access your information by reading our Privacy Policy, available at www.medfin.com.au/privacy-policy or by calling 1300 361 122 and asking us for a copy.

Correcting your Information

You can ask us to correct information we hold about you. You can find out how to correct your information by reading our Privacy Policy, available at www.medfin.com.au/privacy-policy or by calling 1300 361 122 and asking us for a copy.

Complaints

If you have a complaint about a privacy issue, please tell us about it. You can find out how to make a complaint by reading our Privacy Policy, available at www.medfin.com.au/privacy-policy or by calling 1300 361 122 and asking us for a copy.

Information about other persons

If you give us information about another person (like a broker, broker representative, an introducer or a referrer) in relation to your arrangements with us, you will let that other person know that:

- we have collected their information;
- we may exchange their information with other organisations and other Group members for the above purposes;
- we handle their personal information in the way set out in our Privacy Policy and our Broker Privacy Notice, and they can:
 - access or request a copy of that Privacy Policy or Broker Privacy Notice; or
 - access the information we hold about them by using the contact details below.
- we may not be able to effectively administer our arrangements with you unless we obtain their information.

Contacting us

To obtain more information about how we manage your personal information, please view our Privacy Policy on our website www.medfin.com.au/privacy-policy or call 1300 361 122.