



This form is to be used by NAB residential authorised Brokers that seek approval to lodge Commercial/Equipment Finance transactions. Please complete Application form in full in black or blue pen using CAPITAL LETTERS and where appropriate.

Please send completed application and supporting documents to brokerauthorisations@nab.com.au

This is an application only. You cannot introduce or submit finance applications to NAB Commercial Broker or receive commissions on loans until you hold Commercial Broker authorisation.

This form, along with the supporting documents we ask you to give us, are designed to ensure we hold the information we require about you to:

- Consider your application;
- Meet our compliance obligations; and
- Give you information, education and support to assist in developing your business

Type of Authorisation requested: Equipment Finance ONLY Commercial

Section 1 to be completed by the Broker applicant

Name of Aggregator

NAB Broker SPID

Commercial Broker BDM (if known)

Legal name of Broker seeking NAB Commercial Broker authorisation

Preferred name (if applicable)

Date of Birth (DD/MM/YYYY)

Business Name (as registered with ASIC)

Trading Name (if different to registered Business Name)

ABN/ACN

Business address

 State Postcode

Postal address

 State Postcode

Work telephone number

Mobile number

Facsimile number

Email address (for notifications)

Primary business activity(ies)

Other business activity(ies)

Industry specialisation (if any)

Current lending levels (in millions)

Name of financial institution	Commercial \$M	Equipment Finance \$M

The following documents are required to be provided prior to consideration of accreditation

COMMERCIAL ACCREDITATION

- Copy of Resume evidencing minimum 2 years business banking or relevant industry experience* **and/or**
- Copy of Membership of Commercial & Asset Finance Brokers Association (CAFBA)

***relevant industry experience will be determined by the NAB Commercial Broker Representative**

EQUIPMENT FINANCE ACCREDITATION

- Copy of Resume evidencing minimum 2 years business banking experience **AND**
- Copy of Membership of Commercial & Asset Finance Brokers Association (CAFBA)

Broker Attestation

- I consent to receiving electronic communication from the NAB Group of a marketing, promotional or commercial nature
- I agree to conduct myself in accordance with relevant provisions of the Broker Agreement
- The information and declarations made in this Application are true and correct

Stand Alone Equipment Finance Only:

I agree to appointment as a limited agent of NAB, for the purpose of carrying out customer identification procedures of all relevant parties to the loan and facilities, in accordance with NAB's customer identification instructions and procedures to satisfy regulatory obligations (including those under Anti-Money Laundering / Counter-Terrorism Financing laws and verification of identity requirements in each state and territory). In verifying the identity of all relevant parties as NAB's limited agent, I agree to collect and sight and/or certify original documents of all identity documents submitted to NAB and declare I am satisfied each applicant is the person who they claim to be and is the same person shown in the photograph of the identity document/s produced for each application

Full Name of Broker

Signature

Date (DD/MM/YYYY)

Section 2 to be completed by an authorised member of the Aggregator

[Aggregator] supports the Broker in this Application receiving NAB Commercial Broker authorisation. We confirm that the Broker seeking Commercial authorisation within this submission is sufficiently expert to act on our behalf, has adequate knowledge, capability and business acumen to carry out and originate, Commercial and or Equipment Finance loans.

We acknowledge that our Representative must act lawfully, in good faith and ensure that all information and material submitted to NAB (to the best of our knowledge) is correct, not misleading with all relevant information provided. We acknowledge that we are solely responsible and liable for the conduct of our Representatives and we will make reasonable endeavours to ensure NAB is made aware as soon as any information or material submitted to NAB is not accurate or may be misleading and when the Broker Representative ceases to be authorised as our Representative.

Full Name of Aggregator Representative

Signature of Aggregator Representative

Date (DD/MM/YYYY)