



Broker, Agency & Specialist Services

Application for Transfer Business Intermediary Nominee Accreditation



Transfer Sought.

Please tick (✓) all appropriate options:

- Commercial Finance
- Asset Finance

Section 1 – Business Intermediary (Aggregator/Head Group) request

I/We request that you approve the below mentioned to act as our Nominee in accordance with our Business Intermediary Agreement. I/We acknowledge that we are responsible for his/her conduct and remuneration.

We confirm the Business Intermediary Nominee:

- Has not left previous Business Intermediary with adverse findings.
- Is insured with appropriate Professional Indemnity Insurance (minimum cover \$2m unless seeking Asset Finance transfer only, then minimum cover is \$1m).
- Is a member of a recognised Industry Body.
Industry Body name Membership number
- Is a member of the External Dispute Resolution Scheme.
AFCA Membership number

Signed for and on behalf of ('Business Intermediary')
(insert full name of Business Intermediary)

Signature Date (DD/MM/YYYY)

Name of above signatory

Position/capacity

Section 2 – Business Intermediary Nominee (Broker) details (All sections must be completed)

Broker's name

Business name (if applicable)

BIN number

Date of birth

Driver's licence number

Mobile number

ACL number (if held)

Email

Business address

State Postcode

Residential address

State Postcode

Section 3 – Business Intermediary Nominee declaration

Please read and sign the following declaration/consent:

- I agree to conduct myself in accordance with the relevant provisions of the Business Intermediary Agreement which has been executed by the Business Intermediary and the Bank. The relevant provisions relating to the performance of services and the payment of commission has been explained to me by the Business Intermediary.
- I agree to tell my clients that I am an independent contractor and that I am not an agent of the Commonwealth Bank.
- I confirm I will personally interview clients prior to submitting any loan application to the Bank.
- I authorise the Bank and any of its affiliates and those acting on its behalf, to verify information which I have presented in my application and to collect additional information which may be relevant to my application.
- I authorise the Bank to
 - Obtain commercial credit report from a credit reporting body, and/or
 - Contact business referees, and/or
 - Obtain a National Criminal History Check, to enable the Bank to assess whether to consent to accreditation
- I declare that I have never been bankrupt, or been subject to control under the Bankruptcy Act 1966.
- I declare that I have never been found guilty of an offence involving fraud, dishonesty, drug-trafficking, money laundering, violence, or any other criminal offence.
- I declare that I will act in full compliance with all applicable Anti-Corruption and Anti-Tax Evasion Laws.
- I declare that I will comply with the Code of Conduct and Disciplinary rules of the professional industry body of which I am a member.
- I declare that I have never carried on any other occupation, profession, business that is regulated under any enactment of any State or territory of Australia and had my licence, or permission to carry on that occupation, profession, or business suspended or cancelled, or been disqualified from carrying on that occupation, profession, or business.
- I agree to the Bank sending me commercial electronic messages including messages about the Bank's products and services as well as those of third parties.
- I confirm that I maintain a workplace culture that considers environmental and social risks and opportunities.

Full Name of Business Intermediary Nominee (Broker)

Signature of Business
Intermediary Nominee (Broker)

Date (DD/MM/YYYY)



Please return completed form to CommercialBroking@cba.com.au