

Broker Accreditation Application

Thanks for your interest in becoming an accredited broker with us. To apply for broker accreditation, please complete this application form and provide the following documentation from the *Document Checklist*.

Document Checklist

1. Completed and signed Broker Accreditation Application form.
2. A copy of your current photo ID. This can be:
 - a. Australian driver's licence (a copy of the digital licence is not acceptable); or
 - b. Australian passport; or
 - c. Foreign passport with proof of permanent residency; or
 - d. Proof of age or identity photo card issued by an Australian state or territory (confirming address and date of birth)
3. A copy of your Criminal Record check (Police check) dated within 90 days of your application. Criminal Records are accepted from the following approved providers:
 - a. Australian Federal Police
 - b. Australian State or Territory Police
 - c. Australian Criminal Intelligence Commission (ACIC) accredited bodies
4. A copy of your Credit Report from an established credit reporting agency dated within 90 days of your application
5. Copy of your current industry body membership certificate
6. Copy of your letter of separation if you have transferred aggregator groups recently.

Accreditation won't be considered in the following circumstances:

- a. If you have a serious criminal record
- b. If you have a current or previous bankruptcy or debt agreement (Part IX / Part X)
- c. If you have ever been banned or disqualified by ASIC
- d. If you live and work as a broker outside of Australia

What you need to do

Please email your accreditation application along with any supporting documents to your aggregator. They'll submit your application on your behalf.

If we need any more information, we'll contact your aggregator.

New to Macquarie?

Please look out for an invitation to complete broker accreditation training if you're new to Macquarie or haven't completed training recently.

Next steps

Once you've completed your training, your accreditation will be processed (home loan only).

When you're successfully accredited, you'll receive a welcome email from Macquarie.

We look forward to working with you and assisting your clients with their home and/or commercial lending needs.

Note: Home Loan Brokers will receive instructions and login credentials to our Broker Portal as part of the welcome email.

***Mandatory Field**

1. Broker details

1.1 Personal Details

Title

* First name

Middle name

* Surname

Any previous names

Preferred name

* Date of birth

* Email address

* Residential address

Business number

* Mobile number

Driver's licence number

Licence expiry date

Issuing state

1.2 Business Details

* Aggregator or group name (if applicable)

Sub-Aggregator (if applicable)

* Company name (Trustee Name if applicable) or Trading name (Market Facing Name)

Company ABN (Trustee ACN if applicable)

* Business address

Trust name and ABN (if applicable)

1. Broker details (continued)

*1.3 Have you ever worked for Macquarie?

Yes

No

If Yes, please provide details i.e. year of departure, role and division.

2. Broker Accreditation Details

*2.1 Previous Accreditations

Have you previously held or do you currently hold a Macquarie accreditation (home loans, car loans, commercial)?

Yes

No

If Yes, please provide details including when your previous accreditation ended (if applicable), and your Macquarie Access Code or Broker Code (if known).

*2.2 Why are you seeking accreditation with Macquarie?

* 2.3 Do you have an existing relationship with a Macquarie BDM?

Yes

No

If Yes, who?

If No, would you prefer a field or phone based BDM **(for Home Loan brokers only)**

* Field

Phone

Please only complete if applying to be a Home Loan broker

Home Loan Broker Experience

3a Licence Details

***3.1a Please provide some details about your banking and financial experience**

***3.2a How long have you been a mortgage broker?**

If your experience as a broker or in the banking industry is less than 2 years, you must have a mentor that is accredited with Macquarie or is otherwise a professional mentor. Please provide details of your mentor, including full name, industry member body name and membership number.

4a Broker Business Details

Help us understand your business

***4.1a Who is your target market?**

***4.2a What referral sources do you use?**

***4.3a Please provide some details on your current volume and the lenders you deal with (not required for new brokers)**

Please only complete if applying to be a Commercial broker

Commercial Broker Experience

3b Licence Details

***3.1b Please describe your commercial lending capability and experience in both years and employers**

4b Broker Business Details

Help us understand your business (Please note, we do not lend to SMSF, rural or agribusiness, construction, or development finance industries.)

***4.1b Who is your target market and what industries do your clients operate in?**

Professional business services (e.g. accounting & financial services, insolvency, insurance, legal, etc)
Business lending (e.g. building, education/community, health, technology, etc)

Property services (e.g. real estate, strata, etc)

Commercial property finance (e.g. industrial, office, retail, boarding houses, childcare, etc)

Other, please note

***4.2b How do you source your commercial clients and what is your process around mandating clients?**

***4.3b Please provide some details on your current volume and the lenders you deal with**

Lender

Volume of applications per annum

Reasons for using that Lender

Lender

Volume of applications per annum

Reasons for using that Lender

***4.4b What is your proposed annual volume to Macquarie?**

*5. Licence Type

Please tick the applicable box (and provide the relevant supporting document dependent on the licence type)

I am a Credit Representative (CR) of a holder of an Australian Credit Licence

I have been issued with an Australian Credit Licence

I am an employee / director of a holder of an Australian Credit Licence

6. Broker Declaration

*6.1 Are you currently residing and working in Australia as a Citizen or Permanent Resident?

Yes

No

If No, we are unable to accept your accreditation request

*6.2 Are you currently a member of the Australian Financial Complaints Authority (AFCA)?

Yes

No

*6.3 Which Industry Association are you currently a member of?

FBAA

MFAA

CAFBA

*6.4 What date did you last complete AML/CTF training? (for FBAA or MFAA only)

*6.5 Have you ever been declared bankrupt, subject to a debt agreement (Part IX or X) or been subject to control under the Bankruptcy Act 1966?

Yes

No

If Yes, we are unable to accept your accreditation request

*6.6 Have you ever been found guilty of a criminal offence involving fraud, dishonesty or money laundering?

Yes

No

If Yes, please provide details below

*6.7 Have you ever had an application for the issuance or renewal of an ACL or CR refused?

Yes

No

If Yes, please provide details below

***6.8 Have you ever been disqualified by a Bank, Financial Institution, Aggregator or Regulator?**

Yes No

If Yes, please provide details below

***6.9 Have you ever had an Industry Association decline any membership application or withdraw your accreditation membership?**

Yes No

If Yes, please provide details below

***6.10 Are you aware of anything else that may impact your accreditation?**

Yes No

If Yes, please provide details below

Broker authority

By signing the below, I:

1. Confirm the information above is true and correct
2. Authorise Macquarie to contact:
 - The HR Department of Macquarie Group and/or previous line management (if required)
 - Your Mentor if you are currently participating in a mentorship program
3. Agree to the terms of the Macquarie Broker Code of Conduct (the Code) and I acknowledge that complying with the Code at all times is a condition of maintaining my accreditation with Macquarie (<https://www.macquarie.com.au/brokers/broker-code-of-conduct.html>)
4. Confirm and agree that I am responsible for my own access code and password for the use of Broker Portal. I understand failure to protect my access code and password may result in termination of my accreditation.
5. Acknowledge and agree that Macquarie Bank Limited may use information included in this form and may exchange personal and credit information relating to me and my company (as applicable) with other areas of Macquarie (including the HR department) and third parties including credit reporting bodies and credit providers for the purpose of assessing my application for accreditation, assessing my ongoing accreditation, creating or updating my file, making a determination as to my experience, reputation and creditworthiness, and verifying my identity. Failure to consent to the collection, use and disclosure of my information in the ways just outlined may mean Macquarie will be unable to process or accept my application and transactions may be delayed or refused.

*Signature

*Date

This broker accreditation form has been prepared by Macquarie Bank Limited ABN 46 008 583 542 AFSL and Australian Credit Licence 237502. This document is confidential and is for prospective brokers only. Please read the Macquarie Group Privacy Policy (available at <https://www.macquarie.com.au/privacy-and-cookies>) to understand how your personal information will be treated by Macquarie Group.